



PAPER # 4

UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 98,442)

PATENT

IN THE APPLICATION OF:

James R. Whitley
Kenneth F. Carlino

Serial No. 09/129,308

Filed: August 5, 1998

Title **METHOD AND SYSTEM FOR
CONTENT CONVERSION OF
ELECTRONIC DATA USING
DATA MINING**

Art Unit: To Be Assigned

Examiner: 2771

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**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT**

Asst. Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been

reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103.

CITED REFERENCES

U.S. Patent Documents

NONE

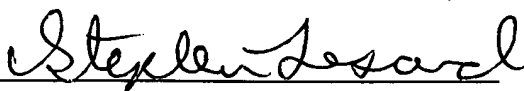
Other Documents

1. White paper, "IBM Transcoding Solution and Services," IBM Corporation, May 1999.
2. John R. Smith, Rakesh Mohan and Chung-Sheng Li, "Transcoding Internet Content For Heterogeneous Client Devices," *Proc. IEEE Int. Conf. On Circuits and Syst. (ISCAS)*, May 1998.
3. Rakesh Mohan, John R. Smith and Chung-Sheng Li, "Adapting Multimedia Internet Content For Universal Access," *IEEE Transactions on Multimedia*, March 1999, pp. 104-114.

Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff

Date: March 14, 2000

By: 
Stephen Lesavich
Registration No. 43,749



3-16-00

GAU 2776

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TRANSMITTAL LETTER

1. We are transmitting herewith the attached papers for the above-identified new patent application:
 - 1) Supplemental Information Disclosure Statement (IDS) Letter
 - 2) Supplemental Information Disclosure Statement (IDS) 1449-Form
 - 3) Copies of IDS Citations references (3 Articles)
 - 4) Return Postcard
2. A check in the amount of \$0.00 is enclosed for the Filing Fee.
3. **GENERAL AUTHORIZATION TO CHARGE OR CREDIT FEES:** Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. **CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10:** The undersigned hereby certifies that this Transmittal Letter and the papers, as described herein-above, are being deposited with the United States Postal Service with sufficient postage as "Express Mail Post Office to Addressee" in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on this 14th day of March, 2000. Express Mail No. EL432914972US.

By:

Stephen Lesavich

Stephen Lesavich
Reg. No. 43,749